

The University of New Mexico
Board of Regents' Audit Committee
February 20, 2014 – 9:00 AM
Roberts Room
Agenda

ACTION ITEMS

1. Approval of Meeting Minutes from the Quarterly Meeting on October 25, 2013
2. University Wide Compliance Structure
 - a. Compliance Officer and Organization
 - b. Compliance Policy
(President Robert Frank, Chancellor Paul Roth, Stuart Freedman, HSC Chief Compliance Officer, and Helen Gonzales, Main Campus Chief Compliance Officer)

INFORMATION ITEMS

3. Advisors' Comments
4. Follow-Up Items from October 25, 2013 Meeting
5. Director of Internal Audit Status Report *(Manu Patel, Internal Audit Director)*
6. Status of Audit Recommendations as of February 10, 2014 *(Chien-chih Yeh, Internal Audit Manager)*

EXECUTIVE SESSION

7. Vote to close the meeting and to proceed in Executive Session as follows:
 - a. Discussion of Final Internal Audit Reports, pursuant to limited personnel matters exception at Section 10-15-1.H(2) NMSA (1978) and exception for matters subject to attorney-client privilege pertaining to threatened or pending litigation at Section 10-15-1.H(7), NMSA (1978)
 - b. Discussion of limited personnel matters pursuant to exception at Section 10-15-1.H(2) NMSA (1978)
 - c. Schedule of Audits in Process, pursuant to exceptions at Sections 10-15-1H(2 and 7), NMSA (1978)
 - d. Vote to re-open the meeting
8. Certification that only those matters described in Agenda item #7 were discussed in Executive Session and if necessary ratification of action, if any, taken in Executive Session.
9. Adjournment